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Substitution for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
		Application Number	09/900,503
		Filing Date	July 6, 2001
		First Named Inventor	Hu et al.
		Group Art Unit	3732
		Examiner Name	Jeffery A. Smith
		Attorney Docket Number	GUID-012CON
Sheet	1	of	10

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Documents		Date of Publication of Cited Document MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, columns, lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	A1	452,131		May, 1891	Haughwout	
	A2	810,675		Jan., 1906	Richter	
	A3	2,296,793		Sep., 1942	Kirschbaum	
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	A23	4,461,284		Jul., 1984	Fackler.	
	A24	4,492,229		Jan., 1985	Grunwald	
	A25	4,637,377		Jan., 1987	Loop	
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A28	4,726,356		Feb., 1988	Santilli et al.	
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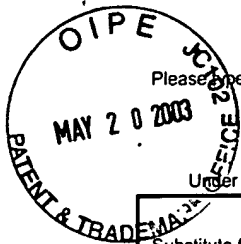
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A60	5,231,974	Aug., 1993	Giglio et al.
A61	5,287,861	Feb., 1994	Wilk
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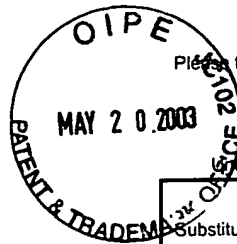


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A92	5,846,193		Dec., 1998	Wright	
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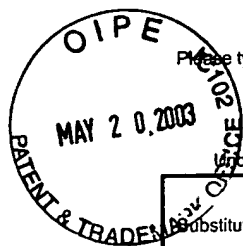
FOREIGN PATENT DOCUMENTS							
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		Office ³	Number ⁴ Code ⁵	Kind			
		(if nown)					
	B1		WO 87/04081		Jul., 1987	WO.	
	B2		0 293 760 A1		Dec., 1988	DE	
	B3		0 293 760 A2		Dec., 1988	DE	
	B4		0 293 760 A3		Dec., 1988	DE	
	B5		90 04513		June, 1990	DE	
	B6		2 233 561		Jan., 1991	GB	
	B7		0 630 629 A1		May, 1994	EP	
	B8		WO 94/14383		Jul., 1994	WO.	
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	B10		WO 95/01757		Jan., 1995	WO.	
	B11		WO 95/17127		Jun., 1995	WO.	
	B12		668 058 A1		Aug., 1995		
	B13		WO 96/00033		Jan., 1996	WO.	
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	B15		WO 97/32514 A3		Sep., 1997	WO.	
	B16		WO 98/48703		Nov., 1998	WO.	
	B17		WO 98/49947		Nov., 1998	WO.	
	B18		WO 99/08585		Feb., 1999	WO.	
	B19		WO 00/06041		Feb., 2000	WO.	
	B20		0 993 806 A2		April, 2000	EP	

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	B21	WO 00/42921	Jul., 2000	WO.	
	B22	WO 00/42935	Jul., 2000	WO.	
	B23	WO 00/42936	Jul., 2000	WO.	
	B24	WO 00/42937	Jul., 2000	WO.	
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OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	
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	C3	Antinori, C. et al., "A Method of Retraction During Reoperative Coronary Operations Using the Favaloro Retractor," The Society of Thoracic Surgeons: 1989.	
	C4	Beg, R.A. H. Naraghipour, E.B. Kay, and P. Rullo, "Internal Mammary Retractor," Ann Thorac, Surg. 39 (1985) 286-287).	
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	C8	Arom, K.V., et al., "Mini-Sternotomy for Coronary Artery Bypass Grafting," The Annals of Thoracic Surgery 1996;61:1271-2.	
	C9	Arom, K.V. et al., "Mini-Sternotomy for Coronary Artery Bypass Grafting," The Annals for Thoracic Surgery 1996;62:1884-85.	
	C10	Ballantyne, C. M., et al. "Delayed Recovery of Severely 'Stunned' Myocardium With the Support of a Left Ventricular Assist Device after Coronary Artery Bypass Graft Surgery," Journal of the American College of Cardiology vol. 10, No. 3, Sep. 1987, pp. 710-712.	
	C11	Bedellino, M. M. et al., "The Cardiac Rag -Simple Exposure of the Heart," Texas Heart Institute Journal, vol. 15, No. 2, 1988, 134-35.	

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C13	Bennetti, F. J., et al., "Direct Myocardial Revascularization Without Extracorporeal Circulation," Chest, vol. 100, No. 2, Aug. 1991, pp. 312-316.
C14	Bonatti, J. et al., "Single Coronary Artery Bypass Grafting -- A Comparison Between Minimally Invasive 'Off Pump' Techniques and Conventional Procedures," European Journal of Cardiac-Thoracic Surgery, 14 (Supp.1) (1998) S7-S12.
C15	Borst, C., et al., "Regional Cardiac Wall Immobilization for Open Chest and Closed Chest Coronary Artery Bypass Grafting on the Beating Heart: the 'Octopus' Method," Circulation, Oct. 15, 1995, vol. 92, No. 8 Supplement 1, 1-177. Abstract from the 68 th Scientific Sessions.
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C17	British Heart Journal, "Coronary Surgery Without Cardiopulmonary Bypass," pp. 203-205, 1995.
C18	Buffolo, E. et al., "Direct Myocardial Revascularization Without Cardiopulmonary Bypass," Thorac. Cardiovasc. Surgeon, 33 (1985) pp. 26-29.
C19	Bugge, M., "A New Internal Mammary Artery Retractor," Thorac. Cardiovasc Surgeon 38, pp. 316-317 (1990).
C20	Calafiore, A. M., et al., "Minimally Invasive Coronary Artery Bypass Grafting," The Annals of Thoracic Surgery, 62:1545-6, 1996.
C21	Cartier, R. MD., "Triple Coronary Artery Revascularization on the Stabilized Beating Heart: Initial Experience," Montreal Heart Institute, CJS, vol. 41, No. 4, pp. 283-288, Aug. 1998.
C22	Chaux, A. and C. Blanche, "A New Concept in Sternal Retraction: Applications for Internal Mammary Artery Dissection and Valve Replacement Surgery," Ann. Thorac. Surg. 42, pp. 473-474, Oct. 1986.
C23	Cooley, D. A., "Limited Access Myocardial Revascularization," Texas Heart Institute Journal, pp. 81-84, vol. 23, No. 2, 1996.
C24	Correspondence and Brief Communications, Archives of Surgery - vol. 115, 1136-37, Sep. 1980.
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Substitution for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
		Application Number	09/900,503
		Filing Date	July 6, 2001
		First Named Inventor	Hu et al.
		Group Art Unit	3732
		Examiner Name	Jeffery A. Smith
		Attorney Docket Number	GUID-012CON
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C29	Fonger, J. D. et al., "Enhanced Preservation of Acutely Ischemic Myocardium with Transseptal Left Ventricular Assist," The Annals of Thoracic Surgery, vol. 57, No. 3, Mar. 1994, pp. 570-575.
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		First Named Inventor	Hu et al.		
		Group Art Unit	3732		
		Examiner Name	Jeffery A. Smith		
Sheet	9	of	10	Attorney Docket Number	GUID-012CON

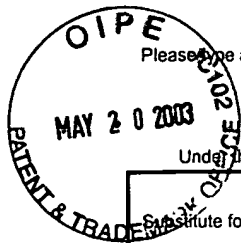
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C50	Riahi, M., et al., "A Simple Technique and Device to Provide a Bloodless Operative Field in Coronary Artery Surgery Without Cross-Clamping the Aorta," The Journal of Thoracic and Cardiovascular Surgery, vol. 66, No. 6, Dec. 1973, pp. 974-978.	
C51	Richenbacher, W. E., MD, et al., "Current Status of Cardiac Surgery: A 40-Year Review," Journal of American College of Cardiology, vol. 14, No. 3, pp. 535-544.	
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				Examiner Name	Jeffery A. Smith
				Attorney Docket Number	GUID-012CON
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C65	Zumbro, G. L. et al., "A Prospective Evaluation of the Pulsatile Assist Device," The Annals of Thoracic Surgery, vol. 28, No. 2, Aug. 1979, pp. 269-273.
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C67	09/345,859 Looney et al. filed on Jul. 1, 1999.
C68	09/438,670 Parsons, et al. filed on Nov. 12, 1999.
C69	09/489,274 Brown et al. filed on Jan. 21, 2000.

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